

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.**2020**

Open to Public Inspection

For calendar year 2020 or tax year beginning 05/01, 2020, and ending 04/30, 20 21

Name of foundation BROWN-FORMAN FOUNDATION		A Employer identification number 82-4595018
Number and street (or P.O. box number if mail is not delivered to street address) 850 DIXIE HIGHWAY	Room/suite	B Telephone number (see instructions) (502) 774-5918
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40210		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 99,410,566	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	22,500,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	528,792	528,792		
	4 Dividends and interest from securities	845,424	845,424		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	333,538			
	b Gross sales price for all assets on line 6a 18,396,359				
	7 Capital gain net income (from Part IV, line 2)		333,538		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances	0			
b Less: Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	(694)	(694)	0		
12 Total. Add lines 1 through 11	24,207,060	1,707,060	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	15,980	0	0	15,980
	b Accounting fees (attach schedule)	20,350	10,175	0	10,175
	c Other professional fees (attach schedule)	143,365	139,425	0	3,940
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	15	0	0	15
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,339	0	0	2,339
	24 Total operating and administrative expenses. Add lines 13 through 23	182,049	149,600	0	32,449
	25 Contributions, gifts, grants paid	8,946,497			7,385,000
26 Total expenses and disbursements. Add lines 24 and 25	9,128,546	149,600	0	7,417,449	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	15,078,514				
b Net investment income (if negative, enter -0-)		1,557,460			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	6,599	65,775	65,775
	2 Savings and temporary cash investments	3,493,694	787,767	787,767
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	0	0	0
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶	0	0	0
	5 Grants receivable		20,000,000	20,000,000
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ▶	0	0	0
	Less: allowance for doubtful accounts ▶	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		2,041	2,041
	10a Investments—U.S. and state government obligations (attach schedule)	0	0	0
	b Investments—corporate stock (attach schedule)	40,913,381	51,879,260	51,879,260
	c Investments—corporate bonds (attach schedule)	21,163,443	26,675,723	26,675,723
Liabilities	11 Investments—land, buildings, and equipment: basis ▶	0		
	Less: accumulated depreciation (attach schedule) ▶	0	0	0
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	0	0	0
	14 Land, buildings, and equipment: basis ▶	0		
	Less: accumulated depreciation (attach schedule) ▶	0	0	0
	15 Other assets (describe ▶)	0	0	0
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	65,577,117	99,410,566	99,410,566
	17 Accounts payable and accrued expenses		1,800	
	18 Grants payable	215,000	1,561,497	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ▶)	0	0	
	23 Total liabilities (add lines 17 through 22)	215,000	1,563,297	
	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	65,362,117	97,847,269	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	65,362,117	97,847,269	
	30 Total liabilities and net assets/fund balances (see instructions)	65,577,117	99,410,566	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	65,362,117
2 Enter amount from Part I, line 27a	2	15,078,514
3 Other increases not included in line 2 (itemize) ▶ (SEE STATEMENT)	3	17,406,638
4 Add lines 1, 2, and 3	4	97,847,269
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	97,847,269

Form **990-PF** (2020)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 18,396,359		18,062,821	333,538
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a		0	333,538
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	333,538
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 – DO NOT COMPLETE.**

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved			
Reserved			
Reserved			
Reserved			
Reserved			

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Form **990-PF** (2020)

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	21,649
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	21,649
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,649
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	21,139
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	21,139
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	510
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	✓	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ► IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV		✓
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		✓

Form 990-PF (2020)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.BROWNFORMANFOUNDATION.ORG	13	✓
14 The books are in care of ► JILL HORN Telephone no. ► (502) 774-5918 Located at ► 850 DIXIE HIGHWAY, LOUISVILLE, KY ZIP+4 ► 40210		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>	1b	✓
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	✓

Form 990-PF (2020)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (*continued*)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b	
Organizations relying on a current notice regarding disaster assistance, check here		<input type="checkbox"/>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	<input checked="" type="checkbox"/>
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JILL HORN 850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	EXECUTIVE DIRECTOR, 15.0	0	0	0
RALPH DE CHABERT 850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	PRESIDENT, 5.0	0	0	0
SHARELL SANDVOSS 850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	TREASURER, 2.5	0	0	0
(SEE STATEMENT)				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Form **990-PF** (2020)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	74,111,381
b	Average of monthly cash balances	1b	67,257
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	74,178,638
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	74,178,638
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	1,112,680
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	73,065,958
6	Minimum investment return. Enter 5% of line 5	6	3,653,298

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,653,298
2a	Tax on investment income for 2020 from Part VI, line 5	2a	21,649
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,649
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,631,649
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	3,631,649
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,631,649

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,417,449
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	7,417,449
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,417,449

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2020)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				3,631,649
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only			1,316,626	
b Total for prior years: 20 <u>16</u> , 20 <u>17</u> , 20 <u>18</u>		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015	0			
b From 2016	0			
c From 2017	0			
d From 2018	0			
e From 2019	0			
f Total of lines 3a through e	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>7,417,449</u>				
a Applied to 2019, but not more than line 2a			1,316,626	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2020 distributable amount				3,631,649
e Remaining amount distributed out of corpus	2,469,174			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)				0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,469,174			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	2,469,174			
10 Analysis of line 9:				
a Excess from 2016	0			
b Excess from 2017	0			
c Excess from 2018	0			
d Excess from 2019	0			
e Excess from 2020	2,469,174			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:
JILL HORN, 850 DIXIE HIGHWAY, LOUISVILLE, KY 40210, 502-774-5918, JILL_HORN@B-F.COM

b The form in which applications should be submitted and information and materials they should include:
THE FOUNDATION DOES HAVE A FORMAL ONLINE GRANT APPLICATION FOR PROPOSALS AND FOLLOW-UP GRANT REPORT. THE ONLINE GRANT APPLICATION MAY BE ACCESSED AT: [HTTPS://WWW.BROWNFORMANFOUNDATION.ORG/GRANT-CRITERIA/](https://www.brownformanfoundation.org/grant-criteria/).

c Any submission deadlines:
SUBMISSION DEADLINES FOR MAY 1, 2020 THROUGH APRIL 30, 2021 ARE MAY 1, AUG. 1, NOV. 1, FEB. 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

(SEE STATEMENT)

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> (SEE STATEMENT)				
Total			3a	7,600,000
b <i>Approved for future payment</i> (SEE STATEMENT)				
Total			3b	1,561,497

Form **990-PF** (2020)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Part 1001 Analysis of Income-Producing Activities				
Enter gross amounts unless otherwise indicated.				
	Unrelated business income		Excluded by section 512, 513, or 514	
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount
				(e) Related or exempt function income (See instructions.)
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments			14	528,792
4 Dividends and interest from securities			14	845,424
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory			18	333,538
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue: a (SEE STATEMENT)			18	(694)
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e)		0		1,707,060
13 Total. Add line 12, columns (b), (d), and (e)				1,707,060

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	✓
	(2) Other assets	1a(2)	✓
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	✓
	(3) Rental of facilities, equipment, or other assets	1b(3)	✓
	(4) Reimbursement arrangements	1b(4)	✓
	(5) Loans or loan guarantees	1b(5)	✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)	✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If “Yes,” complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Date TREASURER
Title

May the IRS discuss this return with the preparer shown below?
See instructions. ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name KIM SCIFRES	Preparer's signature <i>Kim Scifres</i>	Date 9/13/2021	Check <input type="checkbox"/> if self-employed	PTIN P01316095
Firm's name ▶ CROWE LLP			Firm's EIN ▶ 35-0921680	
Firm's address ▶ 9600 BROWNSBORO ROAD SUITE 400, LOUISVILLE, KY 40241-3902			Phone no. (502) 326-3996	

Form **990-PF** (2020)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization

BROWN-FORMAN FOUNDATION

Employer identification number

82-4595018

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Cat. No. 30613X Schedule B (Form 990, 990-EZ, or 990-PF) (2020)

Name of organization BROWN-FORMAN FOUNDATION	Employer identification number 82-4595018
---	--

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BROWN-FORMAN CORPORATION 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	\$ 22,500,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

BROWN-FORMAN FOUNDATION

Employer identification number

82-4595018

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----

Name of organization

BROWN-FORMAN FOUNDATION

Employer identification number

82-4595018

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

Supplemental Information**Supplemental Information.** additional information (see instructions).

Return Reference - Identifier	Explanation
FORM 990 PF PART XV, LINE 2D - RESTRICTIONS AND LIMITATIONS	THE FOUNDATION WILL PROVIDE DISTRIBUTIONS AND MAY PROVIDE SUPPORT TO OTHER ORGANIZATIONS FOR CHARITABLE, LITERACY, SCIENTIFIC, AND EDUCATIONAL PURPOSES. THE FOUNDATION ALONG WITH FUNDING FROM THE BROWN-FORMAN CORPORATION ENRICHES THE EXPERIENCE OF LIFE IN COMMUNITIES WHERE BROWN-FORMAN CORPORATION OPERATES AND ITS EMPLOYEES ARE LOCATED.
FORM 990 PF PART XVI - LINE 11A DESCRIPTION	CHANGE IN ACCRUED INCOME

Part I, Line 6a

Net gain or (loss) from sale of assets

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Accumulated Depreciation	Sales Expense	Total (net)
(1) Publicly Traded Securities					18,396,359	18,062,821				333,538
Total					18,396,359	18,062,821		0	0	333,538

Description	(a) Revenue and expenses	(b) Net investment income	(c) Adjusted net income
(1) CHANGE IN ACCRUED INCOME	(694)	(694)	
TOTAL	(694)	(694)	0

Part I, Line 16a

Legal fees

Description	(a) Revenue and expenses	(b) Net investment income	(c) Adjusted net income	(d) Charitable disbursements
(1) LEGAL FEES	15,980			15,980
TOTAL	15,980	0	0	15,980

Part I, Line 16b

Accounting fees

Description	(a) Revenue and expenses	(b) Net investment income	(c) Adjusted net income	(d) Charitable disbursements
(1) ACCOUNTING FEES	20,350	10,175		10,175
TOTAL	20,350	10,175	0	10,175

Description	(a) Revenue and expenses	(b) Net investment income	(c) Adjusted net income	(d) Charitable disbursements
(1) INVESTMENT MANAGEMENT FEES	139,425	139,425		
(2) OTHER PROFESSIONAL FEES	3,940			3,940
TOTAL	143,365	139,425	0	3,940

Description	(a) Revenue and expenses	(b) Net investment income	(c) Adjusted net income	(d) Charitable disbursements
(1) STATE EXCISE TAXES	15			15
TOTAL	15	0	0	15

Description	(a) Revenue and expenses	(b) Net investment income	(c) Adjusted net income	(d) Charitable disbursements
(1) OTHER OPERATING EXPENSES	2,339			2,339
TOTAL	2,339	0	0	2,339

Description	BOY Amount	EOY Amount	Fair Market Value
EQUITIES	40,913,381	51,879,260	51,879,260
TOTAL	40,913,381	51,879,260	51,879,260

Description	BOY Amount	EOY Amount	Fair Market Value
FIXED INCOME	21,162,706	26,675,723	26,675,723
ACCRUED INCOME ON INVESTMENTS	737		
TOTAL	21,163,443	26,675,723	26,675,723

Description	Amount
(1) PRIOR PERIOD ADJUSTMENT	2,041
(2) UNREALIZED GAIN	17,404,597
TOTAL	17,406,638

Name	Address	Title, and average hours per week devoted to position	Compensation (If not paid, enter -0-)	Contributions to employee benefit plans and deferred compensation	Expense account, other allowances
SANDRA FRAZIER	850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	DIRECTOR, 1.0	0	0	0
JANE MORREAU	850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	DIRECTOR, 1.0	0	0	0
AUSTIN MUSSELMAN	850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	DIRECTOR, 1.0	0	0	0
LAWSON WHITING	850 DIXIE HIGHWAY, LOUISVILLE, KY 40210	DIRECTOR, 1.0	0	0	0

Name and Address	Relationship	Foundation status	Purpose	Amount
21ST CENTURY PARKS 471 WEST MAIN STREET, SUITE 202 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
ACADEMY OF MUSIC PRODUCTION 4425 GREENWOOD AVE LOUISVILLE, KY, 40211	NONE	PC	GENERAL PROGRAM SUPPORT	275,000
ACTORS THEATRE OF LOUISVILLE 316 W. MAIN STREET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	200,000
AFRICAN AMERICAN HERITAGE 1701 W MUHAMMAD ALI BLVD LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	200,000
AMERICAN RED CROSS 510 E CHESTNUT STREET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
BIG BROTHERS BIG SISTERS OF KENTUCKIANA 1519 GARDINER LANE LOUISVILLE, KY, 40218	NONE	PC	GENERAL PROGRAM SUPPORT	100,000
BOYS & GIRLS CLUB OF KENTUCKIANA 3900 CRITTENDEN DR LOUISVILLE, KY, 40209	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
BRIGHTSIDE FOUNDATION P. O. BOX 70362, SUITE 200 LOUISVILLE, KY, 40270	NONE	PC	GENERAL PROGRAM SUPPORT	30,000
CABBAGE PATCH SETTLEMENT AUCTION SPONSORSHIP 1413 SOUTH SIXTH STREET LOUISVILLE, KY, 40208	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
CASA OF THE RIVER REGION 982 EASTERN PARKWAY, BOX 9 LOUISVILLE, KY, 40217	NONE	PC	GENERAL PROGRAM SUPPORT	15,000
CATHOLIC EDUCATION FOUNDATION 401 W. MAIN STREET SUITE 806 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	34,000
CENTER FOR NONPROFIT EXCELLENCE 323 WEST BROADWAY, SUITE 501 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
CENTER FOR WOMEN AND FAMILIES 927 S 2ND STREET LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
DARE TO CARE FOOD BANK PO BOX 35458, 5803 FERN VALLEY ROAD LOUISVILLE, KY, 40232	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
ELDERSERVE 215 W BRECKINRIDGE STREET LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
EVOLVE502 SCHOLARS FOUNTAION INC 334 E BROADWAY LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	250,000
FAMILY AND CHILDREN'S PLACE 525 ZANE STREET LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	75,000
FAMILY SCHOLAR HOUSE 403 REG SMITH CIR LOUISVILLE, KY, 40208	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
FUND FOR THE ARTS 623 WEST MAIN STREET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	250,000
GILDA'S CLUB KENTUCKIANA 633 BAXTER AVENUE LOUISVILLE, KY, 40204	NONE	PC	GENERAL PROGRAM SUPPORT	60,000
HABITAT FOR HUMANITY OF	NONE	PC	GENERAL PROGRAM SUPPORT	30,000

Name and Address	Relationship	Foundation status	Purpose	Amount
METRO 1620 BANK STREET LOUISVILLE, KY, 40203				
HOME OF THE INNOCENTS 1100 EAST MARKET STREET LOUISVILLE, KY, 40206	NONE	PC	GENERAL PROGRAM SUPPORT	40,000
ISAAC W BERNHEIM FOUNDATION INC PO BOX 130 HIGHWAY 245 CLERMONT, KY, 40110	NONE	PC	GENERAL PROGRAM SUPPORT	46,000
JEFFERSON COMMUNITY & TECHNICAL COLLEGE FOUNDATION 109 E BROADWAY # 302A, LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	250,000
JUNIOR ACHIEVEMENT OF FINANCE PARK PHILANTHROPY CENTER 1401 W. MUHAMMAD ALI BLVD. LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	20,000
KENTUCKY AUTHOR FORUM 620 W. MAIN ST., SUITE 602 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
KENTUCKY DANCE COUNCIL 315 E. MAIN STREET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	200,000
KENTUCKY OPERA ASSOCIATION 323 WEST BROADWAY, SUITE 601 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	150,000
KENTUCKY PERFORMING ARTS FNDT 501 W MAIN STREET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
LOCAL INITIATIVES SUPPORT CORP 28 LIBERTY STREET 34TH FLOOR NEW YORK, NY, 10005	NONE	PC	GENERAL PROGRAM SUPPORT	100,000
LOUISVILLE CENTRAL COMMUNITY CENTER 1300 WEST MUHAMMAD ALI BLVD. LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	1,000,000
LOUISVILLE ORCHESTRA 620 W. MAIN STREET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	200,000
LOUISVILLE URBAN LEAGUE 1535 W. BROADWAY LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	1,140,000
LOUISVILLE YOUTH GROUP 417 E BROADWAY LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	30,000
LOUISVILLE ZOO FOUNDATION INC 1100 TREVILIAN WAY LOUISVILLE, KY, 40213	NONE	PC	GENERAL PROGRAM SUPPORT	100,000
MARYHURST 1015 DORSEY LANE LOUISVILLE, KY, 40223	NONE	PC	GENERAL PROGRAM SUPPORT	60,000
METRO UNITED WAY 334 EAST BROADWAY, PO BOX 4488 LOUISVILLE, KY, 40204	NONE	PC	GENERAL PROGRAM SUPPORT	200,000
NEIGHBORHOOD HOUSE 201 N 25TH STREET LOUISVILLE, KY, 40212	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
NEW DIRECTIONS HOUSING CORP 1617 MAPLE STREET LOUISVILLE, KY, 40210	NONE	PC	GENERAL PROGRAM SUPPORT	20,000
NORTON HEALTHCARE FOUNDATION 234 EAST GRAY STREET, SUITE 450 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
ONEWEST CORPORATION 2028 W BROADWAY STE 104	NONE	PC	GENERAL PROGRAM SUPPORT	100,000

Name and Address	Relationship	Foundation status	Purpose	Amount
LOUISVILLE, KY, 40203				
RIVER CITY DRUM CORP CULTURAL ARTS INSTITUTE 3308 CHAUNCEY AVE LOUISVILLE, KY, 40211	NONE	PC	GENERAL PROGRAM SUPPORT	75,000
SIMMONS COLLEGE OF KENTUCKY 1018 S. 7TH ST. LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	1,000,000
SEVEN COUNTIES SERVICES INC 10401 LINN STATION ROAD, SUITE 100 LOUISVILLE, KY, 40223	NONE	PC	GENERAL PROGRAM SUPPORT	25,000
SOWING SEEDS WITH FAITH 2925 BANK STREET LOUISVILLE, KY, 40212	NONE	PC	GENERAL PROGRAM SUPPORT	30,000
SPEED ART MUSEUM 2035 SOUTH THIRD STREET LOUISVILLE, KY, 40208	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
STAGEONE FAMILY THEATRE 315 W MARKET STREET, SUITE 2S LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	30,000
THE HEALING PLACE 1020 WEST MARKET LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	60,000
THE MORTON CENTER 1028 BARRET AVE. LOUISVILLE, KY, 40204	NONE	PC	GENERAL PROGRAM SUPPORT	60,000
UNIVERSITY OF KENTUCKY ALCOHOL EDUCATION AND INTERVENTION 177 JOHNSON CENTER LEXINGTON, KY, 40506	NONE	PC	GENERAL PROGRAM SUPPORT	85,000
UNIVERSITY OF LOUISVILLE FOUNDATION BROWN-FORMAN SPEED SCHOOL DIVERSITY SCHOLARSHIP 2005 S. FIRST STREET LOUISVILLE, KY, 40506	NONE	PC	GENERAL PROGRAM SUPPORT	250,000
UNIVERSITY OF LOUISVILLE BRICC COALITION 2021 2005 S. FIRST STREET LOUISVILLE, KY, 40208	NONE	PC	GENERAL PROGRAM SUPPORT	85,000
VOLUNTEERS OF AMERICA MID- STATES 570 SOUTH 4TH STREET, SUITE 100 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	50,000
WEST END SCHOOL 3628 VIRGINIA AVE. LOUISVILLE, KY, 40211	NONE	PC	GENERAL PROGRAM SUPPORT	150,000
YOUTHBUILD LOUISVILLE 800 SOUTH PRESTON STREET LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	25,000

Name and Address	Relationship	Foundation status	Purpose	Amount
LOUISVILLE URBAN LEAGUE 1535 W BROADWAY LOUISVILLE, KY, 40203	NONE	PC	GENERAL PROGRAM SUPPORT	140,000
WEST END SCHOOL 3628 VIRGINIA AVE. LOUISVILLE, KY, 40211	NONE	PC	GENERAL PROGRAM SUPPORT	300,000
CATHOLIC EDUCATION FOUNDATION 401 W. MAIN STREET SUITE 806 LOUISVILLE, KY, 40202	NONE	PC	GENERAL PROGRAM SUPPORT	66,000
SPEED ART MUSEUM 2035 SOUTH THIRD STREET LOUISVILLE, KY, 40208	NONE	PC	GENERAL PROGRAM SUPPORT	325,000
UNIVERSITY OF LOUISVILLE FOUNDATION 2005 S. FIRST STREET LOUISVILLE, KY, 40506	NONE	PC	GENERAL PROGRAM SUPPORT	750,000
			DISCOUNT ON PLEDGES	(19,503)